

07-01-02

3731

June 28, 2002

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TC 3700 MAIL ROOM

Commissioner For Patents  
Washington, D.C. 20231

Re: Applicant(s): Vargas, Jaime S.  
Assignee: Cardica, Inc.  
Title: Tool for Performing End-to-End Anastomosis  
Serial No.: 10/083,235  
Examiner: Not yet assigned  
Docket No.: 109

Filed: February 26, 2002  
Group Art Unit: 3731

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Supplemental Information Disclosure Statement;
- (3) PTO form 1449 and reference cited therein; and
- (4) Return postcard.


- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below:

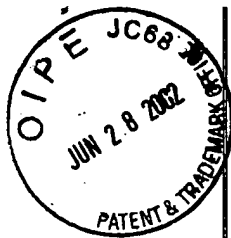
**CLAIMS AS AMENDED**

Claims Remaining <u>After Amendment</u>	Highest No. Previously <u>Paid For</u>	Present <u>Extra</u>	<u>Rate</u>	Additional <u>Fee</u>
Total Claims	Minus	= 0	x \$18	\$ 0.00
Independent Claims	Minus	= 0	x \$80	\$ 0.00
<input type="checkbox"/> Recordation fee under 37 CFR 1.21(h)				\$ 0.00
<b><u>Total additional fee for this Amendment:</u></b>				\$ 0.00
<input checked="" type="checkbox"/> Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.				
<input type="checkbox"/> Please charge our Deposit Account No. 502108 in the amount of				\$ 0.00
<input checked="" type="checkbox"/> Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502108.				
<b>Total:</b>				\$ 0.00

EXPRESS MAIL LABEL NO.  
EV107871693US

Respectfully submitted,

  
Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Vargas, Jaime S.  
Assignee: Cardica, Inc.  
Title: Tool for Performing End-to-End Anastomosis  
Serial No.: 10/083,235 Filing Date: February 26, 2002  
Examiner: Not yet assigned Group Art Unit: 3731  
Docket No.: 109

*HS*

Menlo Park, California  
June 28, 2002

Commissioner for Patents  
Washington, DC 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR**  
**§1.97(b)(1)**

In compliance with Applicants' duty under 37 CFR § 1.56, the following information is brought to the attention of the Examiner. The item is listed on the attached form PTO-1449, and a copy is enclosed for the convenience of the Examiner.

The item identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 CFR § 1.56, and the submission thereof by Applicant shall not be construed as an admission that such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such. Because this IDS is being submitted before receipt of the first Office Action, no fee is due.

Respectfully submitted,

Brian A. Schar  
Attorney for Applicants  
Reg. No. 45,076  
Tel. No. (650) 326-5600 x162

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENTAPPLICANT:  
Vargas, Jaime S.FILING DATE:  
February 26, 2002GROUP:  
3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
AI	WO 99/11178	03/11/99	PCT			
AJ						
AK						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AL	
AM	
AN	
AO	
AP	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant